



DFW/Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Jurg et al.	Examiner:	Unassigned
Application No.:	10/550,778	Group Art Unit:	1614
Confirmation No:	1788	Docket:	753-54 PCT/US
Filed:	September 27, 2005	Dated:	July 25, 2006
For:	TEMPLATE-FIXED PEPTIDOMIMETICS AS MEDICAMENTS AGAINST HIV AND CANCER		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on: **July 25, 2006.***

Signed: Marcy H. Mancuso *Marcy H. Mancuso*

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

In reviewing the Filing Receipt for the above-referenced application, an error has been discovered in the spelling of inventor Barbara Romagnoli's city of residence. The inventor's city is incorrectly spelled as "Louis". The correct spelling of this inventor's city is "St. Louis". It is also noted that four of the inventors are not listed on the Filing Receipt. Please add the following inventors, which are the fourth, fifth, sixth and seventh joint inventors, respectively:

Jan Wim Vrijbloed, Möhlin, SWITZERLAND

Frank Gombert, Huttingen, GERMANY

Reshmi Mukherjee, Newton, Massachusetts, USA

Applicants: Jurg et al.
Application Serial No.: 10,550,778
Filing Date: September 27, 2005
Docket No.: 753-54 PCT/US
Page 2

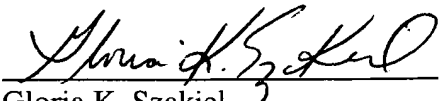
Kerstin Moehle, Wettswil, SWITZERLAND

A copy of the filing receipt with the changes noted thereon is attached.

Accordingly, we ask that these corrections be made to the Filing Receipt as indicated above. In support of this request, we are enclosing herewith a copy of the executed Combined Declaration and Power of Attorney document which shows the correct spelling of inventor Barbara Romagnoli's city of residence and the names and residential addresses of all inventors.

If there are any questions or comments relating to the present application, please contact Applicants' agent at the telephone number set forth below.

Respectfully submitted,


Gloria K. Szakiel
Registration No.: 45,149
Agent for Applicants

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(973) 331-1700



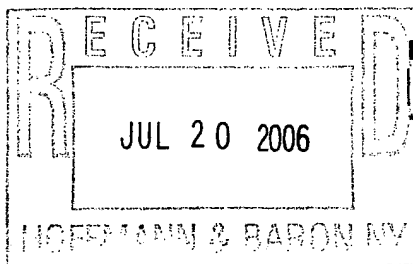
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/550,778	09/27/2005	1614	1700	753-54 PCT/US		31	3

23869

HOFFMANN & BARON, LLP
6900 JERICHO TURNPIKE
SYOSSET, NY 11791



CONFIRMATION NO. 1788

FILING RECEIPT



OC000000019535907

Date Mailed: 07/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jurg Zumbrunn, Kanerkinden, SWITZERLAND;

Steven J. Demarco, Diegten, SWITZERLAND;

Sergio Lociuero, Reinach, SWITZERLAND;

Daniel Obrecht, Battwil, SWITZERLAND;

Barbara Romagnoli, ~~Louis~~ St. Louis, FRANCE;

John Anthony Robinson, Wermatswil, SWITZERLAND;

Jan Wim Vrijbloed, Möhlin, Switzerland

Frank Gombert, Hüttingen, Germany

Rohini Mukherjee, Newton, MA, USA

Kerstin Mochle, Wettswil, Switzerland

Power of Attorney: The patent practitioners associated with Customer Number 23869.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/04641 05/02/2003

Foreign Applications

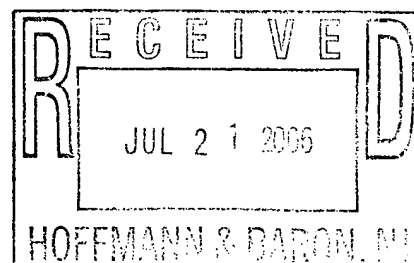
If Required, Foreign Filing License Granted: 07/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/550,778**

Projected Publication Date: 10/19/2006

Non-Publication Request: No

Early Publication Request: No



Title

Template-fixed peptidomimetics as medicaments against hiv and cancer

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Attorney's Docket No. 753-54 PCT/US

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
DIVISIONAL, CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one)

- | | |
|---------------------------------------|--|
| <input type="checkbox"/> Original | <input checked="" type="checkbox"/> National Stage PCT |
| <input type="checkbox"/> Supplemental | <input type="checkbox"/> Divisional |
| <input type="checkbox"/> Design | <input type="checkbox"/> Continuation |
| | <input type="checkbox"/> Continuation-in-Part (CIP) |

INVENTORSHIP IDENTIFICATION

NOTE: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TEMPLATE-FIXED PEPTIDOMIMETICS AS MEDICAMENTS AGAINST HIV AND CANCER

the specification of which: (complete (a), (b) or (c))

- (a) ☐ is attached hereto.
- (b) ☐ was filed on _____ as
☐ Serial No. _____ or
☐ Express Mail No. _____, as Serial No. not yet known
and was amended on _____. (If applicable)
- (c) ☒ was described and claimed in PCT International Application No. PCT/ EP03/004641
filed on May 2, 2003 and as amended under PCT Article 19 on _____. (If any)

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and that the filing of said specification, if heretofore filed, was authorized by me.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

CLAIM OF PRIORITY OF EARLIER FOREIGN APPLICATION(S) UNDER 35 U.S.C. §119(a)-(d)

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

(List prior foreign/PCT application(s) filed within 12 months (6 months for design) prior to this U.S. application.)

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

COUNTRY (orPCT)	APPLICATION NO.	DATE OF FILING (Day/Month/Year)	PRIORITY CLAIMED UNDER 35 USC §119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. §119(e)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(List prior U.S. provisional applications.)

PROVISIONAL APPLICATION NO.	FILING DATE (Day/Month/Year)

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(List prior U.S. applications or PCT international applications designating the U.S. for benefit under 35 U.S.C. §120.)

U.S. APPLICATIONS

STATUS (Check One)

U.S. SERIAL NO.	U.S. FILING DATE (Day/Month/Year)	Patented	Pending	Abandoned
0 /		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
0 /		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

PCT APPLICATIONS DESIGNATING THE U.S.

STATUS (Check One)

PCT APPLN. NO.	PCT FILING DATE (Day/Month/Year)	U.S. SERIAL NOS ASSIGNED (If any)	Patented	Pending	Abandoned
PCT/ EP03/004641	02 May 2003		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PCT/			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

35 USC 119 PRIORITY CLAIM, IF ANY, FOR ABOVE LISTED U.S./PCT APPLICATIONS

PRIORITY APPLICATION NO.	PRIORITY COUNTRY	FILING DATE (Day/Month/Year)	ISSUE DATE (Day/Month/Year)

POWER OF ATTORNEY

As a named inventor, I hereby appoint the attorney(s) and/or agent(s) at Customer Number 23869 to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith.

PLEASE SEND CORRESPONDENCE TO:

PLEASE DIRECT TELEPHONE CALLS TO:

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791

(973) 331-1700

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full Name of Sole or First Inventor: Jürg Zumbrunn

Country of Citizenship: Switzerland

Residence Address: ~~Hefackerstrasse 48, CH-8964 Rudolfstetten, Switzerland~~

Hauptstrasse 30, CH-4447 Känerkinden, Switzerland

Post Office Address: Same as above

Date: 29th June, 2005

Inventor's signature



Full Name of Second Joint Inventor: Steven J. Demarco

Country of Citizenship: USA

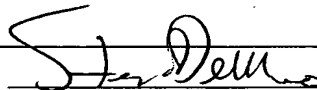
Residence Address: ~~Hubwiesstrasse 8, CH-8427 Freienstein, Switzerland~~

Rotacherstrasse 13, CH-4457 Diegten, Switzerland

Post Office Address: Same as above

Date: 29.06.2005

Inventor's signature



Full Name of Third Joint Inventor: Sergio Lociuo

Country of Citizenship: Italy

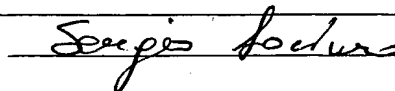
Residence Address: ~~Rigistrasse 3, CH-8700 Küsnacht, Switzerland~~

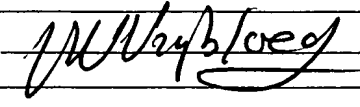
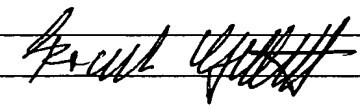
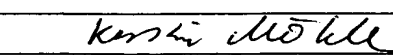
Tulpenweg 18, CH-4153 Reinach, Switzerland

Post Office Address: Same as above

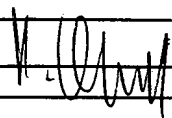
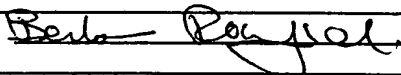
Date: 26th July 2005

Inventor's signature



Full Name of Fourth Joint Inventor:	Jan Wim Vrijbloed
Country of Citizenship:	Netherland
Residence Address:	Vorhaldestrasse 14, CH-8040 Zürich, Switzerland
Post Office Address:	Untere Fuchsrainstrasse 16, CH-4313 Möhlin, Switzerland
Date:	30-Jun-2005
Inventor's signature	
Full Name of Fifth Joint Inventor:	Frank Gombert
Country of Citizenship:	Deutschland
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Post Office Address:	Same as above
Date:	29.06.2005
Inventor's signature	
Full Name of Sixth Joint Inventor:	Reshmi Mukherjee
Country of Citizenship:	Indian
Residence Address:	Tulpenstrasse 16, CH-8051 Zürich, Switzerland c/o Louise Adler
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Date:	13th July 2005
Inventor's signature	Reshmi Mukherjee
Full Name of Seventh Joint Inventor:	Kerstin Moehle
Country of Citizenship:	Germany
Residence Address:	Oberhausenstrasse 23, CH-8907 Wettswil, Switzerland
Post Office Address:	Same as above
Date:	04.07.2005
Inventor's signature	

NOTE: All above spaces identifying inventors must be completed or deleted before any inventor executes this application

Full Name of Eighth Joint Inventor:	Daniel Obrecht
Country of Citizenship:	Switzerland
Residence Address:	Im Eichhacker 21, CH-4112 Bättwil, Switzerland
Post Office Address:	Same as above
Date: <u>30 June 2005</u>	Inventor's signature 
Full Name of Ninth Joint Inventor:	Barbara Romagnoli
Country of Citizenship:	Italy
Residence Address:	Noubrunnenstrasse 182, CH-8046 Zürich, Switzerland 8 rue de Bartol, FR-68300 St. Louis, France
Post Office Address:	Same as above
Date: <u>30 June 2005</u>	Inventor's signature 
Full Name of Tenth Joint Inventor:	John Anthony Robinson
Country of Citizenship:	Great Britain
Residence Address:	Tobelstrasse 24, CH-8615 Wermatswil, Switzerland
Post Office Address:	Same as above
Date: _____	Inventor's signature 